

Fig. 3

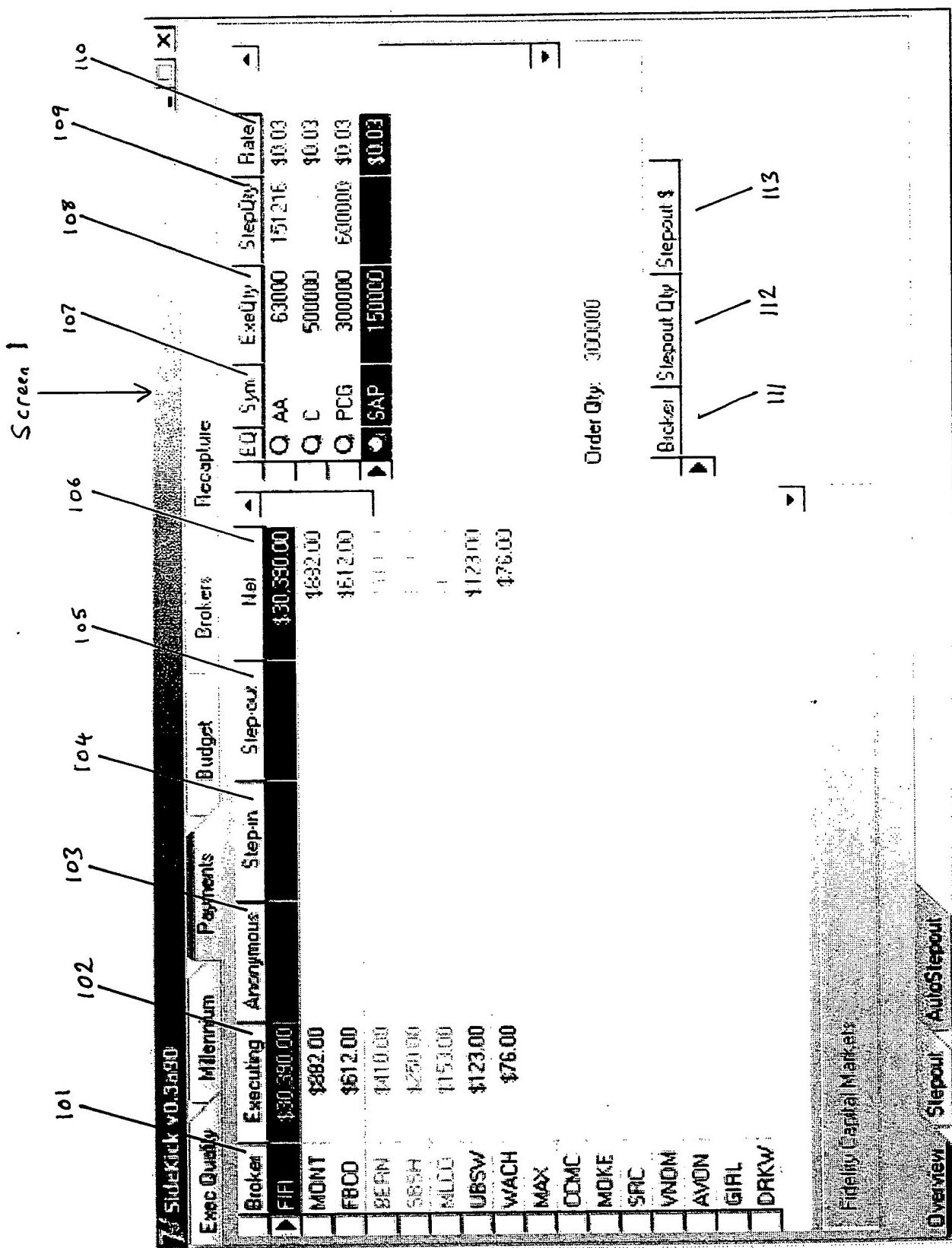


Fig. 4

Eq	Broker	Symbol	Old Qty	New Qty	Budget	Balances	Recapup	Stepout \$
Q	FIFI	AA	302433		\$10.03			
Q	BERN	CAT	60000		\$10.05			
Q	FIFI	C	500000		\$10.03			
Q	MONT	MSFT	74000		\$10.06			
Q	FBCO	PFE	65000		\$10.06			
Q	MLCO	WMT	36000		\$10.03			
Q	FIFI	PCG	1200000		\$10.03			
Q	FIFI	SAP	300000		\$10.03			
Q	SBSH	LYG	21000		\$10.05			
Q	DEGW	CAT	97000		\$10.03			
Q	MONT	GE	64000		\$10.06			
Q	FBCO	LYG	59000		\$10.06			
Q	BERN	XOM	70000		\$10.05			
Q	MONT	GE	74000		\$10.06			
Q	FBCO	BP	89000		\$10.06			
Q	WACH	JNJ	89000		\$10.04			

Screen 3

Fig. 5

FRN/MPD	FIA Name	Payments	Budget	Commission	Rate (cents)	Commission Recapture	No commission recapture	As Ratio	From Fixed Base	Step Outs	Allow stepouts from this firm	Max % Stepout (long term)	Max % Stepout (single trade)	Client Directions	Stop Sim	Performance	Settle	Notification	Cancelling	Apply	
1 FBOU	Credit Suisse First Boston										<input checked="" type="checkbox"/>										
1 UBSW	UBS Warburg										<input checked="" type="checkbox"/>										
1 NLCO	Merrill Lynch										<input checked="" type="checkbox"/>										
1 GSOC	Goldman Sachs										<input checked="" type="checkbox"/>										
1 SBSH	Salomon Smith Barney										<input checked="" type="checkbox"/>										
2 NDNT	Bank of America Securities										<input checked="" type="checkbox"/>										
2 BEAN	Sandler C. Bernstein & Co., Inc.										<input checked="" type="checkbox"/>										
2 WACH	Wachovia Securities										<input checked="" type="checkbox"/>										
2 DBCC	Deutsche Bank										<input checked="" type="checkbox"/>										
2 FIJI	Fidelity Capital Markets										<input checked="" type="checkbox"/>										
3 INGB	ING Barings										<input checked="" type="checkbox"/>										
3 SFSS	San Francisco Security										<input checked="" type="checkbox"/>										

Fig. 6

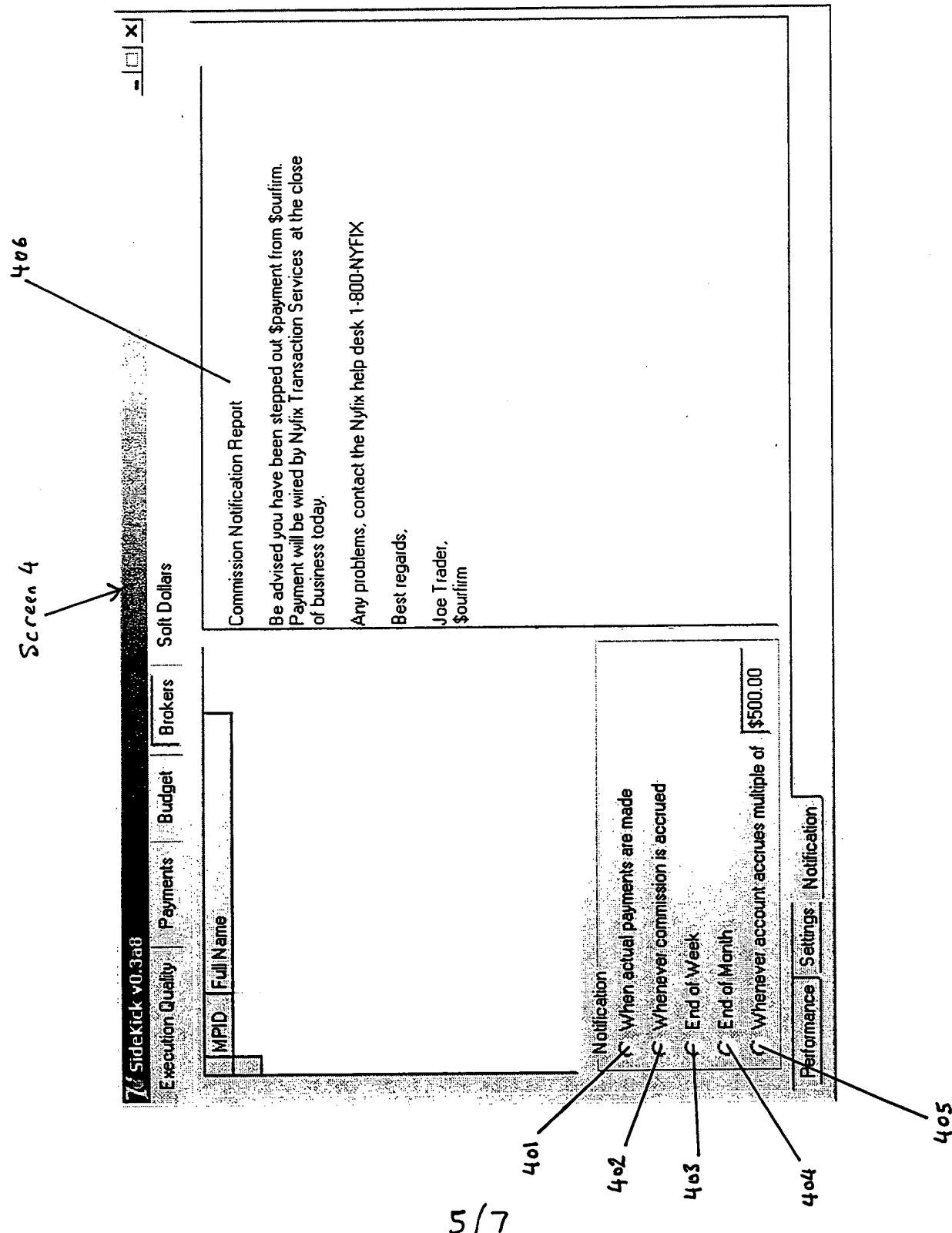


Fig. 7

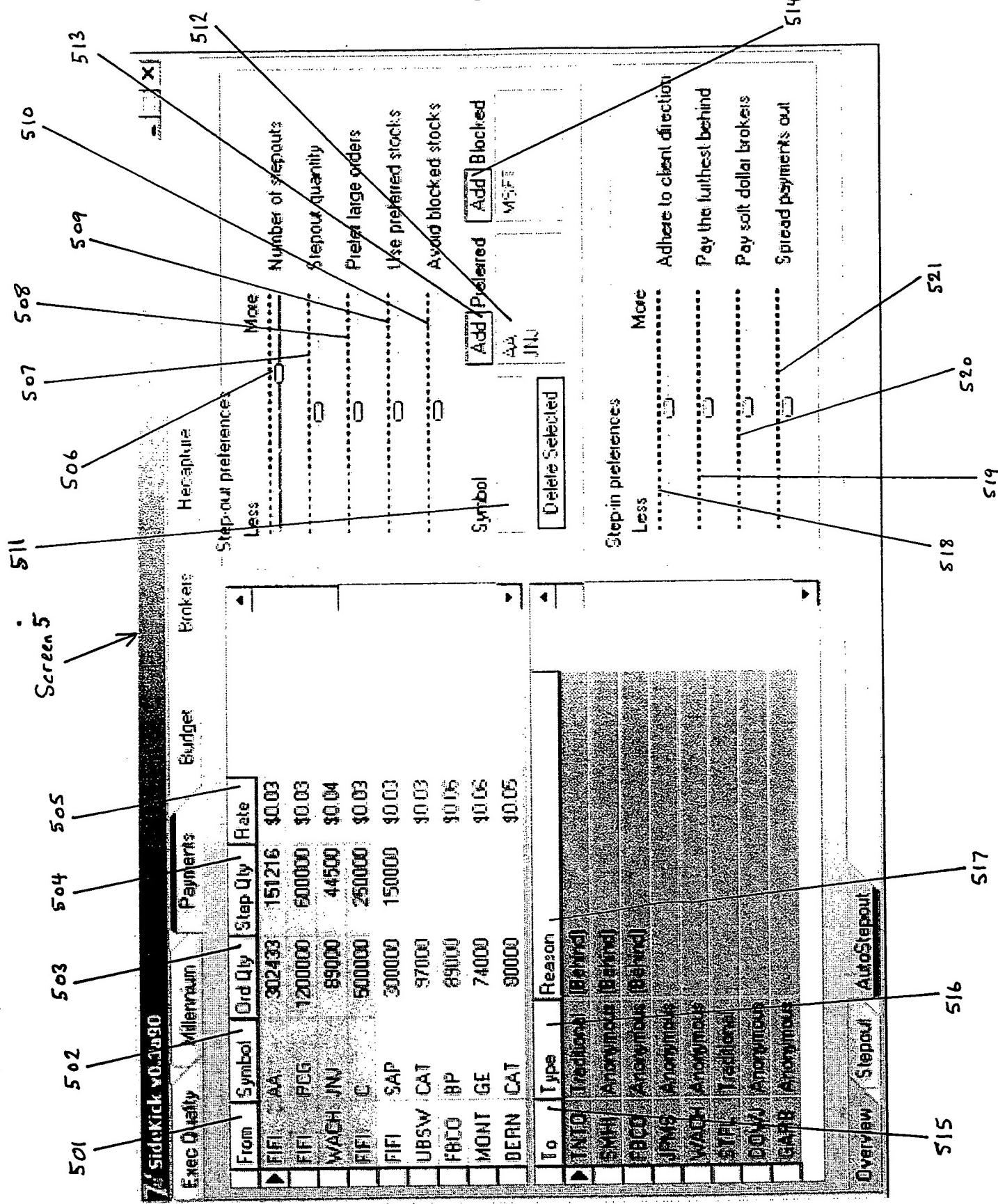
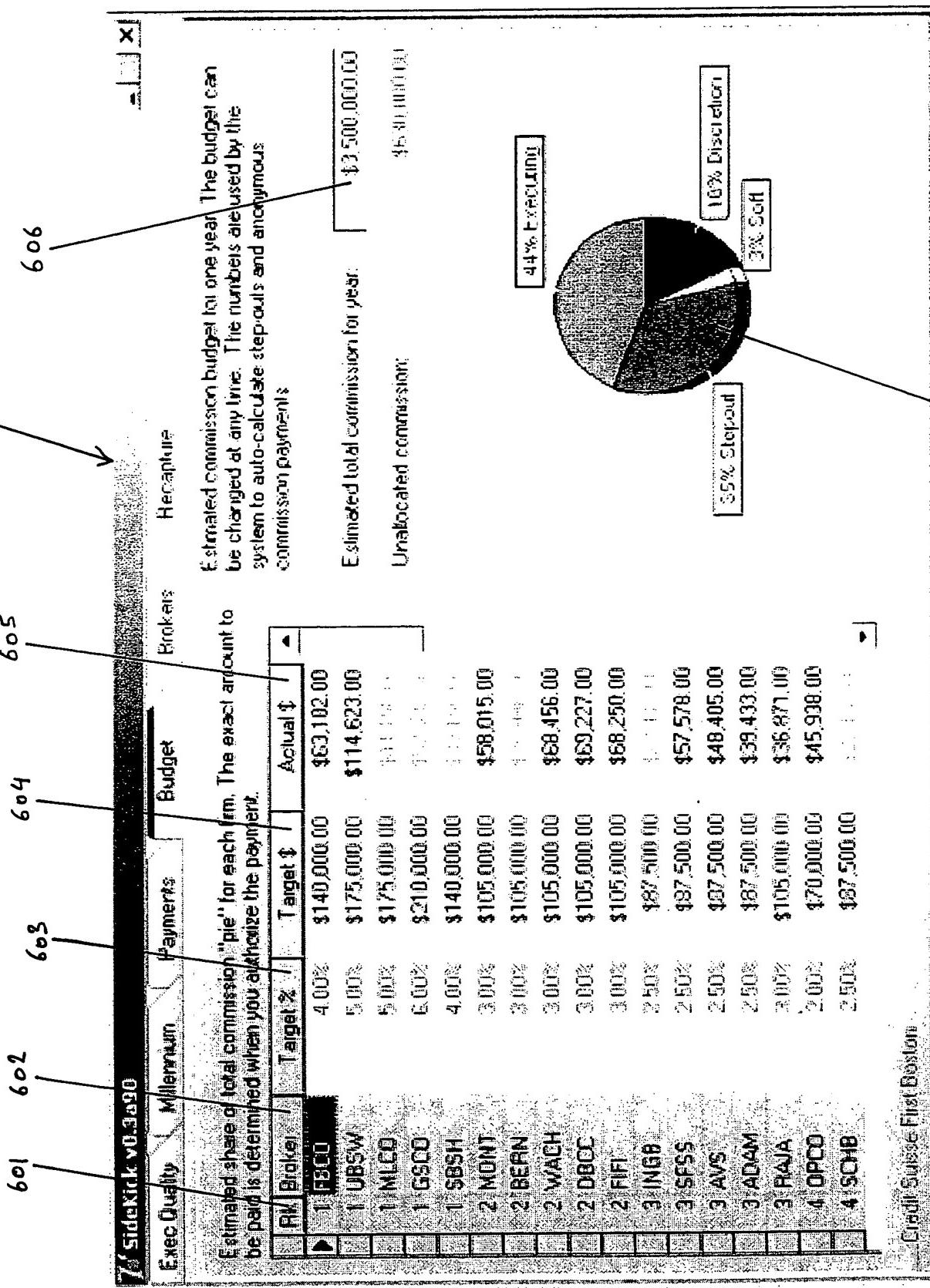


Fig. 8

Screen 6



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Credit Suisse First Boston

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